I. REFERENCES

1. UC Compendium of Conflict of Interest And Integrity Policies – Guidance;
2. Senior Vice President Mullinix’s Implementation Letter, dated June 18, 2002.

II. BACKGROUND

On June 23, 1980, President Saxon issued the University’s policy on conflict of interest, together with a Compendium of Specialized University Policies, Guidelines, and Regulations Related to Conflict of Interest. A revision was issued by Senior Vice President Brady on April 14, 1986. Since the last revision, new policies and guidelines have been issued and others revised. The revised Compendium reflects these changes and provides online access to all materials.

III. STATEMENT

The University’s overall policy on conflict of interest is that none of its faculty, staff, managers or officials shall engage in any activities which place them in a conflict of interest between their official activities and any other interest or obligation. Over the years a variety of specialized policies and guidelines have been issued in recognition of the need for guidance in this area and in the related areas of ethical standards and codes of conduct. These complementary policies and guidelines have been summarized and brought together in one comprehensive document (reference 1 above) located at https://policy.ucop.edu/doc/1200679/CompendiumCOIPolicies.

The compendium is intended to provide guidance to University employees should the question arise as to a possible conflict of interest. If after reviewing the policies, guidelines and regulations contained in the compendium an individual is still uncertain about the propriety of a particular action or relationship in connection with University duties, he or she should consult Campus Counsel who will refer the question to the appropriate official for interpretation or decision.

Issuing Officer

/s/ Peter W. Blackman

Administrative Vice Chancellor

Questions concerning this policy or procedure should be referred to the Responsible Department listed at the top of this document.